SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>WYNNEFIELD PARTNERS</u> <u>SMALL CAP VALUE LP I</u> (Last) (First) (Middle) 450 SEVENTH AVENUE SUITE 509	2. Date of Event Requiring Statement (Month/Day/Year) 04/12/2021	4. Relationship of Reporting Issuer (Check all applicable)	CA\ [L g Person(s) { 10% C	NDC]	led (Month/Day	bint/Group Filing
(Street) NEW YORK NY 10123	-	title below)	below)		Form filed Person	by One Reporting by More than One
(City) (State) (Zip)						
	able I - Non-Deriva	ative Securities Benefic				
1. Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or Ir (I) (Insti	Direct Ow ndirect	t Ownership (Instr. 5)	
Common Stock, par value \$0.001 per s	hare	1,400,345	D	(1)		
Common Stock, par value \$0.001 per share		1,743,034		See	e footnotes ⁽²⁾⁽	3)(4)
(e.g		ve Securities Beneficia ants, options, convert				
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable ar Expiration Date (Month/Day/Year)	d 3. Title and Amount of S Underlying Derivative Se (Instr. 4)	curity Conversion Ov or Exercise Fo		Form:	6. Nature of Indirect Beneficial Ownership (Instr.
	Date Expirati Exercisable Date	on Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)
1. Name and Address of Reporting Person* <u>WYNNEFIELD PARTNERS S</u> <u>CAP VALUE LP I</u>	MALL					
(Last) (First) (Mid 450 SEVENTH AVENUE SUITE 509	idle)					
(Street) NEW YORK NY 101	123					
(City) (State) (Zip)					
1. Name and Address of Reporting Person [*] WYNNEFIELD PARTNERS S CAP VALUE LP	MALL					
(Last) (First) (Mid 450 SEVENTH AVENUE SUITE 509	idie)					
(Street)						

NEW YORK	NY	10123				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person [*] <u>WYNNEFIELD SMALL CAP VALUE</u> <u>OFFSHORE FUND LTD</u>						
(Last) 450 SEVENTH STE 509	(First) AVE	(Middle)				
(Street) NEW YORK	NY	10123				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person [*] <u>Wynnefield Capital, Inc. Profit Sharing</u> <u>Plan</u>						
(Last) 450 SEVENTH SUITE 509	(First) AVENUE	(Middle)				
(Street) NEW YORK	NY	10123				
(City)	(State)	(Zip)				
	(First)	(Middle)				
(Street) NEW YORK	NY	10123				
(City)	(State)	(Zip)				
	ss of Reporting Perso					
(Last) 450 SEVENTH STE 509	(First) AVE	(Middle)				
(Street) NEW YORK	NY	10123				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person*						
(Last)	(First)	(Middle)				
(Street)						

(City)	(State)	(Zip)					
1. Name and Address of Reporting Person [*] LANDES JOSHUA							
(Last)	(First)	(Middle)					
(Street)							
(City)	(State)	(Zip)					

Explanation of Responses:

1. Wynnefield Partners Small Cap Value L.P. I (the "Reporting Person") directly beneficially owns 1,400,345 shares of common stock, \$0.001 par value per share ("Common Stock") of Landec Corporation. Wynnefield Capital Management, LLC, as the sole general partner of the Reporting Person, has an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns.

2. The Reporting Person has an indirect beneficial ownership interest in 901,594 shares of Common Stock, which are directly beneficially owned by Wynnefield Partners Small Cap Value, L.P., as members of a group under Section 13(d) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"). Wynnefield Partners Small Cap Value, L.P., which maintains offices at the same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Wynnefield Capital Management, LLC, as the sole general partner of Wynnefield Partners Small Cap Value, L.P., has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value L.P. directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value, L.P. directly beneficially owns.

3. The Reporting Person has an indirect beneficial ownership interest in 659,388 shares of Common Stock, which are directly beneficially owned by Wynnefield Small Cap Value Offshore Fund, Ltd., as members of a group under Section 13(d) of the Exchange Act. Wynnefield Small Cap Value Offshore Fund, Ltd., which maintains offices at the same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Wynnefield Capital, Inc. as the sole investment manager of Wynnefield Small Cap Value Offshore Fund, Ltd., has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns. Nelson Obus and Joshua Landes, as principal executive officers of Wynnefield Capital, Inc., have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns.

4. The Reporting Person has an indirect beneficial ownership interest in 182,052 shares of Common Stock, which are directly beneficially owned by Wynnefield Capital, Inc. Profit Sharing Plan, as members of a group under Section 13(d) of the Exchange Act. Wynnefield Capital, Inc. Profit Sharing Plan, which maintains offices at the same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Nelson Obus, as the portfolio manager of Wynnefield Capital, Inc. Profit Sharing Plan, has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Capital, Inc. Profit Sharing Plan directly beneficially owns.

Remarks:

Each of the Reporting Owners identified in this statement disclaims beneficial ownership of the securities described in this statement, except to the extent of their individual respective pecuniary interest in such securities. The filing of this statement shall not be deemed an admission that any of the Reporting Owners identified in this statement are, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owner of any securities specified in this statement other than those directly beneficially owned by them.

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<u>04/14/2021</u>	

<u>individually</u>

/s/ Joshua Landes,

<u>individually</u> ** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date